



AGENDA ITEM NO:

**POLICE AND CRIME PANEL
28 March 2019**

OPCC RISK REGISTER

1. Purpose of Report

- 1.1 To update the Police and Crime Panel (PCP) on the PCC Risk Register.

2. Background and Main Considerations for the Committee

- 2.1 The risk register is aligned to the lifespan of the Police and Crime Plan (2017-2021) and has been reviewed and updated as at 19th February 2019.
- 2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus be removed from the register.
- 2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation rather than being a record of all possible risks.
- 2.4 The register is reviewed monthly on an informal basis by the Deputy Chief Executive and formally by CMB on a quarterly basis, usually prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.
- 2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.
- 2.6 The risk appetite remains at 30.
- 2.7 The PCC Risk Register is attached at Appendix A.
- 2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets for identifying risks as high, moderate, acceptable, or minor.

3. Key Risks to Consider – Inherent

- 3.1 The register identifies eleven inherent risks; seven are considered as either minor or acceptable, three are considered moderate and one is considered major.
- 3.3 Details on the one risk identified as major is:

- *Risk I8: ICT services are not resilient and transformational to support effective and efficient policing*

Members will be aware of the strategic partnership between the OPCC, Wiltshire Police, and the Council to deliver ICT services. This collaboration has been successful however the demands for ICT projects in both organisations continue to increase. In the police sector there is an increase in mandating of infrastructure which is causing a degree of divergence. The Chief Finance Officer is currently overseeing a review of current service provision. It is expected this review will report back early summer.

3.4 Details on the three risks considered moderate are:

- *Risk I2: Failure to produce a MTFs that enables the PCC to deliver his P&C Plan priorities and fails to identify delivery of necessary savings*

The scoring for this risk has reduced since the risk register was last reported to PCP (December 2018). Members will recall there was significant national concern with regard to the funding of police pensions which could have equated to an increase in costs of £1m for Wiltshire. The financial settlement announced by the Government in December included additional funding to cover most of these costs. The settlement also confirmed that Police and Crime Commissioners would have the flexibility to seek to increase the precept by up to £24 per year (previously it had been anticipated the maximum would be £12 per year). These two announcements have culminated in the likelihood for this risk being reduced from 3 to 2.

- *Risk I6: Partners make decisions that impact upon the PCC and Police without discussion or involvement in decision making process*

There has been no formal notification from partners as to if, where, and how they will be making savings from 2019-20 onwards. This risk continues to be monitored.

- *Risk I9: Police collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits*

Although this risk has been fully reviewed following the withdrawal from Tri-Force by Avon and Somerset, the score is maintained at 18.

4. Key Risks to Consider – Topical

4.1 The register identifies ten topical risks (six existing risks, two new risks, and two risks to be removed. Of the six existing risks, two are considered moderate. Details on these risks are provided in Paragraph 4.3 below.

4.2 Details on the two new topical risks and the two risks identified for removal can be found at Paragraphs 5 and 6 below.

4.3 Details on the two existing risks considered moderate are:

- *Risk T4: Wiltshire Police fail to have operational and organisational policies that meet the duties and aims under the Equality Act 2010*

The planned internal audit has now taken place which resulted in a 'partial' assurance. This mainly related to a number of HR policies being out of date and requiring review. Internal Audit have conducted a follow-up review on this audit and found that the majority of recommendations had now been confirmed as

substantially complete. However, the general process to ensure all Force policies are updated promptly remains under review to refine and fully embed.

- *Risk T8: Failure to comply with General Data Protection Regulations (GDPR)*
Work is ongoing to ensure compliance with GDPR and a new structure for Information Assurance has been in place since January 2019.

5. New Risks

5.1 Two new topical risks have been identified both of which are considered moderate. Further information is provided below:

- *Risk T10: Impact of a 'No Deal' Brexit on Wiltshire*
A number of actions have been taken locally to mitigate the risk of a 'no deal' Brexit including the establishment of a Gold Group which is attended by the OPCC and updating of contingency plans. The APCC have established a cross party Brexit Working Group which provides updates to PCCs.
- *Risk T11: Failure to provide forensic medical services in Sexual Assault Referral Centres*
The Deputy Chief Executive is working with regional colleagues to mitigate this risk as far as possible and resolve the matter with the provision of a suitable service.

6. Risks to be Removed

6.1 Two risks have been identified as suitable for removal from the register. These are:

- *Risk T5: Failure to secure a high-calibre candidate, who shares the ambitions and vision of the PCC, for the role of Chief Constable due to extremely competitive market*
A thorough recruitment exercise was run which resulted in the appointment of Kier Pritchard as Chief Constable in November 2018.
- *Risk T9: Failure to recommission service for victims of crime*
A competitive and successful tendering exercise was run which has resulted in the appointment of Victim Support to provide services to adult victims of crime and Community First to provide a service to under 18's. Mobilisation meetings have been held with both service providers and the new services will commence from 1st April 2019.

7. Future Reviews of Risk Register

7.1 Any amendments required following this meeting will be made at the monthly informal review by the Deputy Chief Executive.

Naji Darwish
OPCC Deputy Chief Executive

ACRONYM	MEANING
ACCs	Assistant Chief Constables
APAC ² E	Association of Police and Crime Commissioners Chief Executives
APCC	Association of Police and Crime Commissioners
BAU	Business As Usual
BUSS	Best Use of Stop and Search
CC	Chief Constable
CFO	Chief Finance Officer
CJB	Criminal Justice Board
CJS	Criminal Justice System
CMB	Commissioner's Monitoring Board
CPS	Crown Prosecution Service
CPT	Community Policing Team
CSPs	Community Safety Partnerships
CX	Chief Executive
D&C	Devon and Cornwall
DA	Domestic Abuse
ESN	Emergency Services Network
GCHQ	Government Communications Headquarters
HMICFRS	Her Majesty's Inspectorate of Constabulary and Fire & Rescue Service
HO	Home Office
HR	Human Resources
ICT	Information Communication Technology
JAC	Joint Independent Audit Committee
LA / LAs	Local Authority / Local Authority's
MPs	Members of Parliament
MTFS	Medium Term Financial Strategy
OPCC	Office of Police and Crime Commissioner
P&C Plan	Police and Crime Plan
PACCTS	Police and Crime Commissioners Treasurer Society
Panel	Police and Crime Panel
PCC	Police and Crime Commissioner
PCP	Police and Crime Panel
PCSOs	Police Community Support Officers
PEEL	Police Effectiveness, Efficiency and Legitimacy Programme
PPA	Performance, Planning and Assurance
RJ	Restorative Justice
S&S	Stop and Search
SA	Sexual Abuse
SBC	Swindon Borough Council
SCT	Senior Command Team
SW	South West
T/CC	Temporary Chief Constable
WC	Wiltshire Council
WCJB	Wiltshire Criminal Justice Board

RISK KEY

risk score 30+

risk score 18-29

risk score 8-17

risk score 1-7

